



## Notice of a public meeting of

### Audit & Governance Committee

<b>To:</b>	Councillors Pavlovic (Chair), Fisher, Lomas, Mason, D Taylor, Wann and Webb  Mr Mann and Mr Mendus (Independent Members)
<b>Date:</b>	Wednesday, 5 February 2020
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The George Hudson Board Room - 1st Floor West Offices (F045)

### AGENDA

#### 1. **Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### 2. **Minutes** (Pages 1 - 10)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 4 December 2019.

#### 3. **Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday, 4 February 2020**.

To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

### **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

#### **4. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators (Pages 11 - 44)**

This report asks Members to note the Treasury Management Strategy Statement and Prudential Indicators for 2020/21 to 2024/25, to be submitted to the Executive meeting on 13 February.

#### **5. Counter Fraud Framework Update (Pages 45 - 98)**

This report represents the third annual review of the council's 2017 counter fraud and corruption strategy and associated action plan, providing an update on progress against the actions in the strategy over the past three years and adding new actions for the next financial year.

#### **6. Mazars Audit Update Report (Pages 99 - 112)**

This paper introduces a report from Mazars on progress made in delivering their responsibilities as the council's external auditors.

**7. Mazars Audit Strategy Memorandum (Pages 113 - 134)**

This paper introduces a report from Mazars which summarises their audit approach, highlights significant areas of key judgements and provides details of their audit team.

**8. Internal Audit Plan Consultation (Pages 135 - 140)**

This report seeks Members' views on the priorities for internal audit for 2020/21, to inform the preparation of the annual audit plan.

**9. Information Governance and Complaints**

*Report to follow*

To receive a briefing report on Information Governance

**10. Changes to the Constitution**

To receive a verbal update from the Director of Governance on proposed changes to the Constitution, ahead of the meeting on 11 March 2020.

**11. Audit & Governance Committee Forward Plan to December 2020 (Pages 141 - 148)**

To consider the forward plan of reports expected to be presented to the committee up to December 2020.

**12. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim (Polish)  
własnym języku.

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550